

# Carbon nanotubes/amorphous carbon composites as high-power negative electrodes in lithium ion capacitors

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**Abstract** A nitrogen-rich carbon nanotubes/amorphous carbon (CNT/C) composite was prepared by carbonising a CNT/polyaniline (PANI) composite, and characterised. Scanning electron microscopy and X-ray photoelectron spectroscopy confirmed that the composite retained a mesoporous CNT structure as its backbone, whilst the nitrogen-rich PANI-derived carbon formed a thin amorphous coating on the CNT surface. Electrochemical characterisation of the CNT/C composite indicated that it had nearly double the reversible  $\text{Li}^+$  intercalation capacity (390 vs. 219  $\text{mAh g}^{-1}$ ) and 39 % less irreversible capacity (622 vs. 1,015  $\text{mAh g}^{-1}$ ) than the pristine CNT. The CNT/C composite showed exceptionally high rate capability with a de-intercalation capacity of 81  $\text{mAh g}^{-1}$  at a very high charge/discharge rate of 60 C (time taken for charge or discharge is 1 min) (1 C = 1 h charge or discharge), whereas the pristine CNT delivered 53  $\text{mAh g}^{-1}$  at this C-rate. By comparison, the rate capabilities of conventional graphite (N3 and SLP30) were very poor above 5 C ( $\sim 17 \text{ mAh g}^{-1}$  at 5 C). Both the pristine CNT and CNT/C composite showed an excellent cyclability at 1 C charge/discharge over 600 cycles. The CNT/C composite maintained a fairly stable capacity of  $\sim 200 \text{ mAh g}^{-1}$  after 600 cycles, whilst the commercial graphite showed a steady and significant decrease in de-intercalation capacity; reaching  $<70 \text{ mAh g}^{-1}$  after 600 cycles.

**Keywords** Lithium ion capacitor · Supercapacitor · Lithium ion battery · Anode · CNT

## 1 Introduction

Lithium ion capacitors (LICs) are advanced hybrid energy-storage devices capable of storing 5–10 times more energy than conventional electric double layer capacitors and have similar levels of high power and long cycle life [1–13]. LICs are typically assembled with a lithium ion intercalating anode and a high-surface-area-activated carbon (AC) cathode, together with a carbonate-based electrolyte-containing  $\text{LiPF}_6$ . During the charge/discharge of an LIC, lithium ions intercalate/de-intercalate at the negative electrode, whereas depending upon the cell cut-off voltage, either  $\text{PF}_6^-$  or  $\text{Li}^+$  adsorb/desorb at the positive electrode. As the lithium intercalation/de-intercalation process occurring at the negative electrode is a relatively slow process (compared with the fast ion adsorption/desorption process occurring at the positive electrode), the rate capability of a LIC is generally limited by the negative electrode kinetics.

Among various  $\text{Li}^+$  intercalating negative electrode materials, graphitic carbons are frequently used as they have many benefits including a low Li intercalation potential (0.1–0.2 V vs.  $\text{Li/Li}^+$ ), high capacity, a flat charge/discharge voltage profile, high cycling and thermal stability, safety and relatively low cost. A recent report demonstrates that whilst commercial graphite materials generally have good de-intercalation capabilities, their  $\text{Li}^+$  intercalation rate capability is relatively poor [14]. Therefore, when graphite is used as a negative electrode in a LIC, the device will suffer from slow charge rate limitations; as is regularly observed with many lithium-ion batteries (LIBs) that also use a graphite anode. Since LIC is a power

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device, it will be required to charge at a very fast rate, and therefore, classical graphite is unlikely to be a suitable negative electrode material.

A review of the literature reveals that several forms of carbon-based materials have been trialled as negative electrodes in LICs and LIBs and can be broadly categorised into: AC/pitch-based composites [3], disordered carbon [4, 15–27], non-graphitisable carbon [5], bimodal/hierarchical porous carbon [6, 28–36], graphite/composites [7, 8, 37–45], polyacene [12] and mesoporous carbon [13].

Carbon materials prepared at temperatures below 1,000 °C tend to be predominantly amorphous in structure with a low degree of graphitisation. These amorphous materials usually show an improved Li intercalation/de-intercalation rate capability, but the Li de-insertion voltage profiles show a monotonous change of voltage during discharge and appear to behave more like capacitive materials (i.e. a linear change of voltage with charge/discharge; unlike the flat charge/discharge profile obtained with graphite). They also tend to display a high irreversible capacity due to the presence of a large number of micropores. When carbonisation temperatures above ~2,000 °C are employed, the carbons begin to graphitise (increase in graphene ring size and number of stacking layers) and begin to behave in a similar way to classical graphite, i.e., high capacity but poor charge rate. Composite carbon materials with an amorphous carbon coating (shell) on the surface of graphite (core) have also been prepared with a decreased irreversible capacity and improved chemical and physical stability [37–45]; however, as the active core is still highly graphitic, the rate capability was not observed to increase appreciably.

Another approach has been the preparation of carbons with a hierarchical pore structure containing a 3D network of interconnecting pores of varying size ranges. In this approach, hard and soft templates have been employed whereby a carbon precursor is impregnated into a silica template and carbonised before the template is removed by HF etching [28–36]. Whilst the carbons have hierarchical 3D network of pores, upon subsequent high temperature treatment (above 2,000 °C) and further graphitisation, the material tends to behave in a similar manner to classical graphite. It appears that in addition to porosity, a limited or controlled graphitisation of carbons may be required to achieve a high rate capability. A reduced level of graphitisation would reduce the intercalation capacity of the carbon; however, as capacity of a LIC will be limited by the positive AC electrode, a decrease in the capacity of the negative intercalation electrode may not be detrimental.

The appropriate selection of electrolyte and electrode porosity will be the key factors controlling the rate capability of graphitic carbon electrodes [46]. Carbons having a mesoporous, 3D network and less-graphitic structure are

presumed to be more promising materials to achieve a high charge rate capability. The carbon nanotube (CNT) materials fulfil these characteristics, and numerous publications studying the electrochemical performance of CNTs are available in the literature [47–64]. A vertically aligned multi-wall CNT electrode was shown to have a reversible capacity of 166 mAh g<sup>-1</sup> @ 70 °C rate (charge or discharge time: 51 s) [60], whilst a similar capacity was reported for unaligned multi-wall CNT electrodes operating at 10 °C (charge or discharge time: 6 min) [54]. Specific capacities of multi-walled CNTs are reported to be in the range of 100–600 mAh g<sup>-1</sup>, depending on the preparation method; however a high irreversible capacity, low volumetric density and poor voltage profile during discharge limit their application as electrode materials in LIBs [53].

There has also been interest in improving the specific capacity and rate of CNTs by nitrogen doping [53]. Towards this end, we have characterised a CNT/C composite, prepared by carbonising polyaniline (PANI) onto CNT to form a nitrogen-rich CNT/amorphous carbon (C) composite. To our knowledge, a performance evaluation of this type of composite material has not been reported earlier, especially in the context of its use in high-power LICs. In the present study, the CNT/C composite is characterised and its electrochemical Li<sup>+</sup> storage properties are evaluated and compared with conventional graphite.

## 2 Experimental

Multi-walled CNTs (prepared by chemical vapour deposition) were purchased from Iljin Nanotech, Korea. Commercial graphites prescribed for high-power LIB anode, N3 (ConocoPhillips;  $D_{50}$ , 3 µm) and SLP30 (Timcal;  $D_{50}$ , 30 µm) were obtained from the suppliers. All other chemicals were purchased from Aldrich.

The composite was prepared by in situ polymerisation following a previously reported procedure [63]; except, HCl was used in place of HClO<sub>4</sub>. The freshly prepared CNT/PANI composite was estimated to have 30 wt% of CNT and 70 wt% of PANI. Samples of the dry composite were pre-weighed into a crucible prior to carbonisation at various temperatures: 600, 700, 800 and 900 °C under a flowing N<sub>2</sub> atmosphere. The heating rate was 2.5 °C/min from room temperature and held at the final temperature for 1 h before overnight cooling under flowing N<sub>2</sub>. The carbonised composite (CNT/C) was then reweighed to determine the ratio of CNT to the carbon derived from PANI. The composite was gently milled (FRITSCH pulverisette 6) at 300 rpm for 10 min to produce a fine powder suitable for the preparation of electrodes by slurry coating. For comparison, pristine CNT was also heat-treated using the same protocol.

Scanning electron micrographs (SEM) of CNT and its composite powders were obtained using a Philips XL30 FESEM. Brunauer–Emmett–Teller (BET) surface area of CNT-based materials was measured using a Micromeritics ASAP 2400 gas sorption analyser. Powder X-ray diffraction profiles were collected using a Philips X'Pert MPD (PW 1800) diffractometer equipped with a copper target X-ray tube. X-ray photoelectron spectra (XPS) were acquired using a high-resolution Kratos XPS instrument.

Carbon electrodes were prepared by coating a mixture of the carbon sample (1.0 g), a conductive carbon black (Super P–Li, 0.06 g) and carboxymethyl cellulose salt binder (0.1 g) dispersed in water, onto 100- $\mu\text{m}$ -thick copper mesh (dimension: 2 cm  $\times$  2 cm) (one of the sides masked by a polyimide tap) using a grooved-rod applicator at a thickness of 60  $\mu\text{m}$ . All the coated electrodes were roll-pressed and dried at 100 °C overnight under dynamic vacuum before testing. Pristine CNT electrodes were prepared in a similar fashion as above but without a conductive carbon black. The coated electrodes were cut into electrodes with an active area of: 2 cm  $\times$  2 cm and a 3 cm tab. Li metal (250  $\mu\text{m}$  thick, Aldrich) electrodes of dimension 2 cm  $\times$  2 cm were prepared by roll-pressing Li onto a copper foil with a 3 cm tab. Two electrode cells comprising carbon and Li electrodes were assembled in metallised plastic packaging (i.e. pouch cells) by sandwiching the electrodes on either side of a polyolefin (Solupor) separator (38  $\mu\text{m}$  thick). The pouch cells were hermetically sealed after the addition of electrolyte (ethylene carbonate/dimethyl carbonate mixture (50:50 w/w) containing 1 M LiPF<sub>6</sub> salt (Sol-Rite, Mitsubishi Chemical Corp., Japan)). All cells were assembled in an Argon-filled glove box. Galvanostatic charge/discharge cycling of the cells was performed using a Maccor (Series 4000) battery cycler. No constant voltage float was applied when the cell voltages reached the cut-off voltages (0.005–2.0 V vs. Li/Li<sup>+</sup>), but a rest period of 30 s was applied. Specific capacity values are calculated based on the combined masses of the active material and the carbon black (combined masses were in the range of 2–3 mg cm<sup>−2</sup>) (mass of the binder was not considered). All electrochemical tests were performed at ambient temperature.

### 3 Results and discussion

The pristine CNT sample exhibited no weight loss after heat treatments up to 900 °C. Therefore, it was considered that the absolute weight of CNT in the CNT/PANI composites did not change during carbonisation. Accordingly, the weight percentage of CNT in the composites was determined to be: 75.1, 70.5, 65.2 and 67.3 % and, by difference, the proportion of carbon derived from PANI

was: 24.8, 29.4, 34.7 and 32.6 % by weight for the samples heat-treated at 600, 700, 800 and 900 °C, respectively. These weight measurements confirm the presence of a significant amount PANI-derived material in the composite after carbonisation.

The BET surface area and pore size of the pristine CNT and the composite carbonised at various temperatures are presented in Table 1. The pristine CNT has a predominantly mesoporous structure, with a BET surface area of  $\sim 222 \text{ m}^2/\text{g}$ . Both the surface area and pore volumes decrease significantly after carbonisation, which is attributed to a pore size reduction resulting from a surface coverage and partial infiltration of the PANI-derived carbon into the CNT mesostructure.

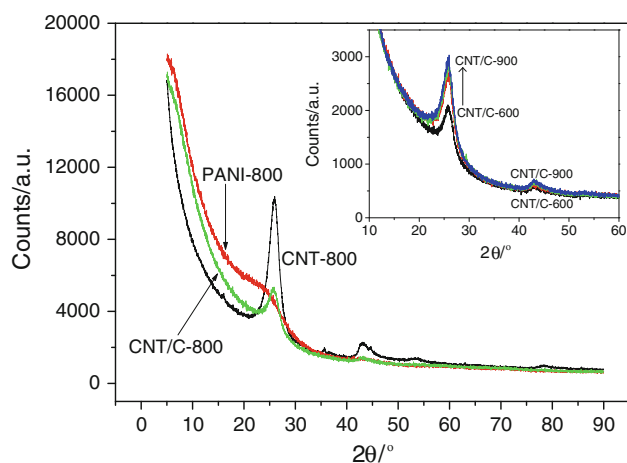
Figure 1 displays the XRD patterns of the: CNT, PANI and CNT/PANI heat-treated at 800 °C (CNT/C) samples, and the corresponding peak positions and d-spacing values calculated for these samples are presented in Table 2. The CNT displays a typical (002) peak at 25.98° corresponding to a graphite-like structure. The PANI-derived carbon (PANI-800) displays a very broad peak typical of materials with poor crystallinity, whilst the CNT/C composite also displays a peak similar to the CNT, but with a lower peak intensity. This (002) peak intensity is seen to increase with increase in carbonisation temperature (inset in Fig. 1), indicating an increase in degree of graphitisation with increase in temperature [32, 45].

In order to further establish the presence of amorphous carbon coating in the composite, and to identify its chemical composition, XPS was recorded for the CNT/C composite prepared at 800 °C. The spectra obtained along with other samples for comparison are presented in Fig. 2 and the XPS-derived chemical composition of these samples is given in Table 3. The C1s spectra for all the samples show a single peak with the samples containing PANI or PANI-derived carbon exhibiting significant peak broadening. The N1s spectrum confirms the presence of nitrogen in the composite samples (note the absence of nitrogen signal from pristine and heat-treated CNTs). The nitrogen signal at 399–399.5 eV from the CNT/PANI composite is attributed to the presence of PANI. After heat-treating the composite, the original nitrogen peak disappears and two new N1s peaks appear at 398 eV and 400.5–401 eV in the carbonised sample, which are attributed to pyridinic nitrogen and to pyrrolic nitrogen (and/or quaternary nitrogen), respectively [64–67]. The XPS data confirms the chemical incorporation of PANI-derived (but modified) nitrogen structures in the heat-treated CNT/C composite, and Table 3 shows that the 800 °C heat-treated composite contains as much as 6.7 % of nitrogen by atomic concentration.

Scanning electron micrograph images of the pristine CNT and the heat-treated CNT/C composites are presented

**Table 1** Physical properties of pristine CNT and CNT/C composites

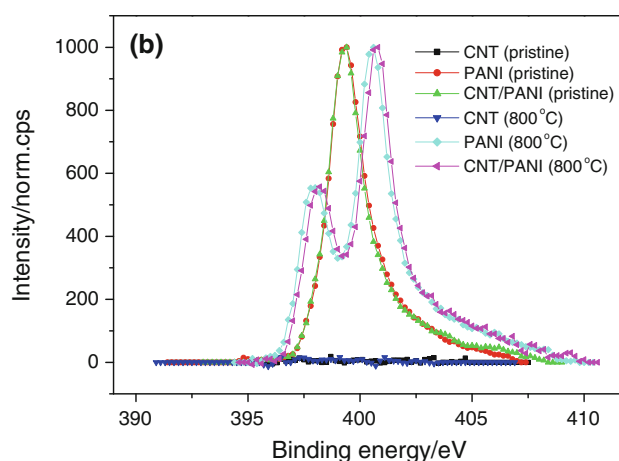
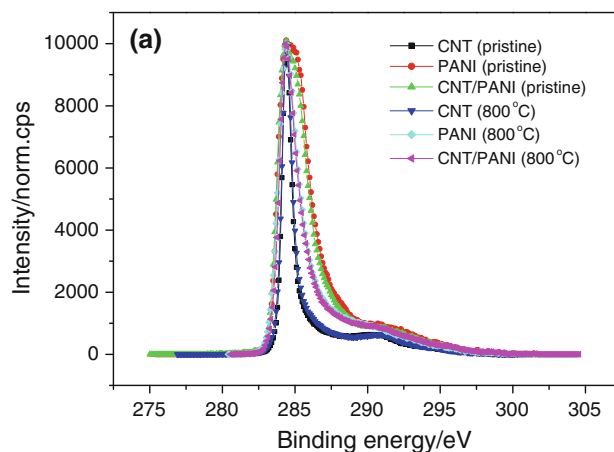
Sample	BET area (m <sup>2</sup> g <sup>-1</sup> )	V <sub>t</sub> <sup>a</sup> (cm <sup>3</sup> g <sup>-1</sup> )	Average pore diameter (nm)	V <sub>mic</sub> <sup>b</sup> (cm <sup>3</sup> g <sup>-1</sup> )	V <sub>meso</sub> <sup>c</sup> (cm <sup>3</sup> g <sup>-1</sup> )
Pristine CNT	222	1.03	16.00	0.08	0.95
CNT/C-600	225	0.38	4.84	0.09	0.29
CNT/C-700	215	0.36	12.00	0.09	0.27
CNT/C-800	181	0.44	15.32	0.07	0.37
CNT/C-900	171	0.40	14.00	0.07	0.33

<sup>a</sup> Total volume<sup>b</sup> Microporous volume<sup>c</sup> Mesoporous volume**Fig. 1** XRD patterns of CNT, PANI and CNT/C heat-treated at 800 °C. *Inset* CNT/C composite obtained by heat-treating at various temperatures such as 600, 700, 800 and 900 °C**Table 2** XRD results of samples heat-treated at 800 °C

Sample	Peak 1		Peak 2	
	Degree	<i>d</i> -spacing (nm)	Degree	<i>d</i> -spacing (nm)
CNT-800	25.981	0.3425	42.934	0.2100
PANI-800	24.146 (broad)	0.3676	42.980	0.2103
CNT/C-800	25.841	0.3443	42.743	0.2113

in Fig. 3. The images of composites show that the CNTs are intimately covered with the PANI-derived carbon material but, the composite still maintains an open network structure. As the carbonisation temperature increases, the increased burn-off of the PANI results in a more open arrangement with the CNT support structure becoming more visible in the composite carbonised at 900 °C.

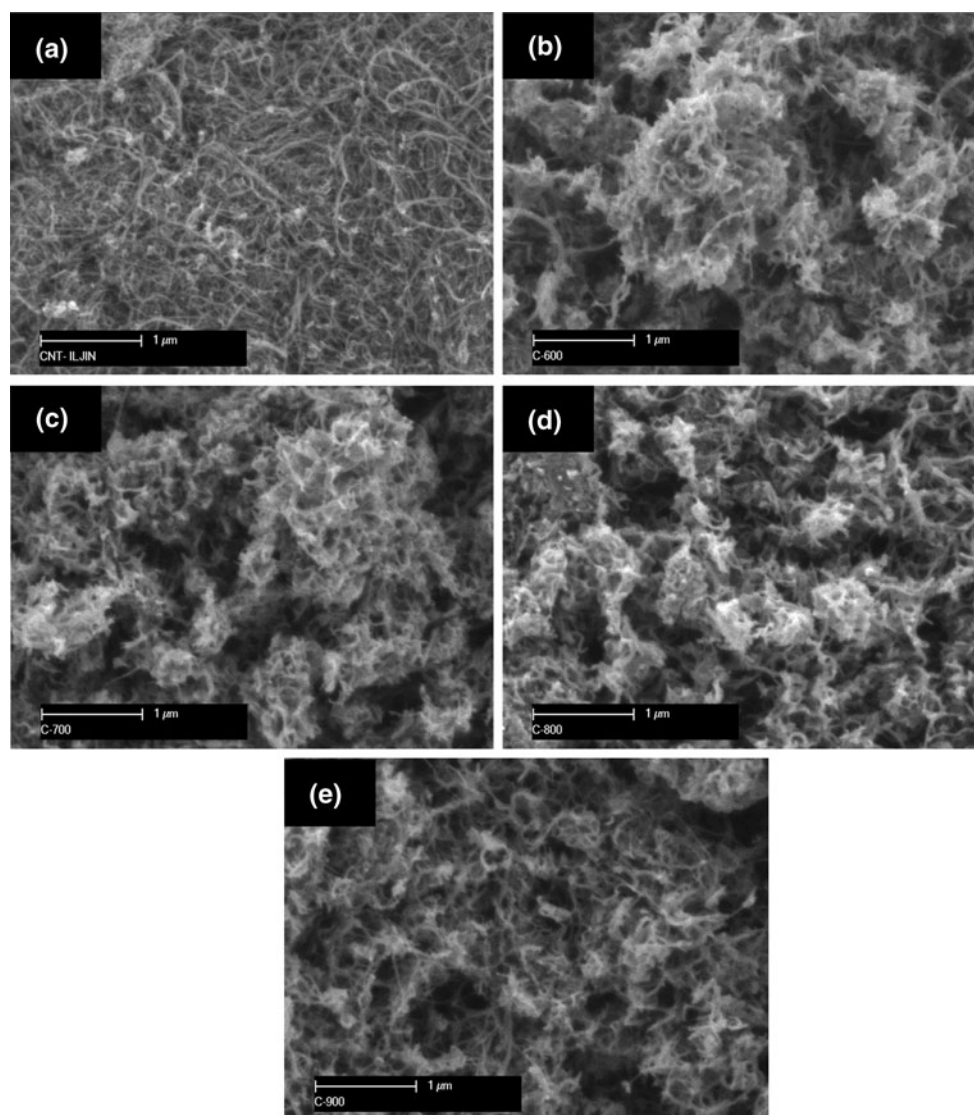
The initial experiments on evaluating the electrochemical performance of the CNT/C composites obtained at various carbonising temperatures showed that the material carbonised at 800 °C displayed the best performance and therefore the electrochemical performance for only the composite carbonised at 800 °C is discussed hereinafter.

**Fig. 2** XPS spectra of **a** C1s and **b** N1s of samples

The galvanostatic charge/discharge curves obtained from the CNT/C composite and the pristine CNT (versus Li counter electrode) are shown in Fig. 4. The CNT/C composite displayed a charge capacity of 1,012 mAh g<sup>-1</sup> and discharge capacity of 390 mAh g<sup>-1</sup> (irreversible capacity: 622 mAh g<sup>-1</sup>), whereas the pristine CNT displayed a charge capacity of 1,234 mAh g<sup>-1</sup> and discharge capacity of 219 mAh g<sup>-1</sup> (irreversible capacity: 1,015 mAh g<sup>-1</sup>). The CNT/C composite has a greater reversible capacity (nearly double) and less irreversible capacity (39 % less)

**Table 3** Chemical composition of samples: atomic concentrations are relative to the total concentration of C (atomic ratios X/C)

Element	Pristine CNT	CNT-800	Pristine PANI	PANI-800	Pristine CNT/PANI	CNT/PANI-800
C	1.0000	1.0000	1.0000	1.0000	1.0000	1.0000
O	0.0086	0.0064	0.0607	0.0225	0.0598	0.0204
N	0.0021	0.0009	0.1756	0.0786	0.1633	0.0670

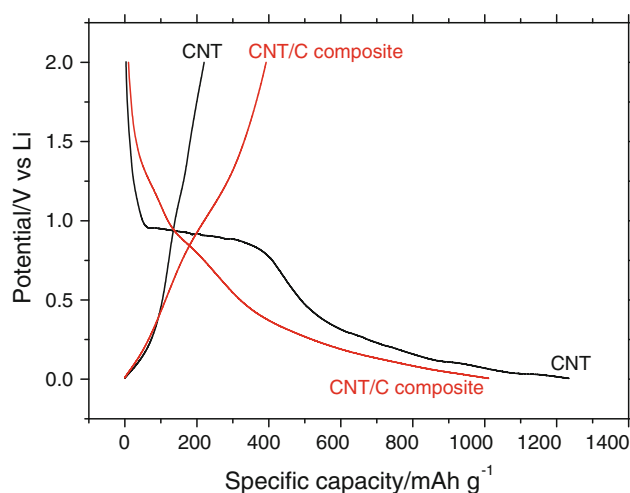
**Fig. 3** SEM images of **a** pristine CNT and CNT/C composite obtained by heat-treating at **b** 600 °C, **c** 700 °C, **d** 800 °C and **e** 900 °C

compared with the pristine CNT. A plateau observed at 0.9 V during charging the pristine CNT electrode is reported to be due the formation of a solid electrolyte interface (SEI) layer [53], and such a plateau is not observed with the CNT/C composite, indicating the SEI layer formation mechanisms are different for these two materials.

The rather curved charge/discharge profiles observed in Fig. 4, and the relatively high BET surface area of the composite suggest that, in addition to  $\text{Li}^+$  intercalation, the

$\text{Li}^+$  charge storage mechanism may also involve  $\text{Li}^+$  adsorption. The greater reversible capacity of the CNT/C composite over pristine CNT is believed to be due to the presence of nitrogen (derived from PANI) in the composite. It has been well recognised that the nitrogen doping in carbon materials increases the charge storage capacity of electrochemical materials [64–70]. In those studies, the increased capacity was attributed to (i) the presence of nitrogen improving the wettability of the electrode material



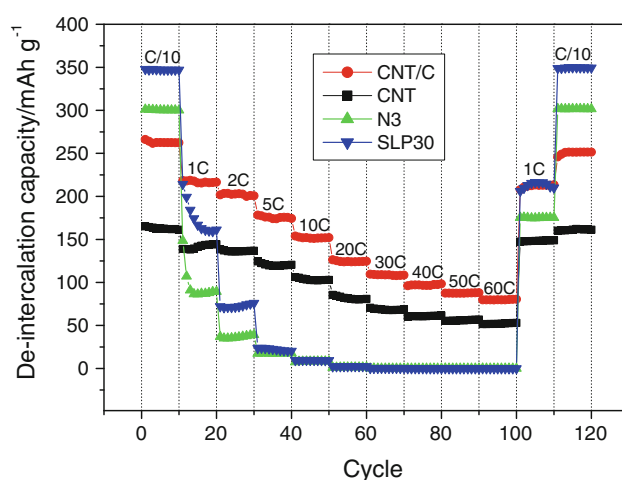


**Fig. 4** Charge/discharge curves of pristine CNT and CNT/C composite obtained during the first cycle. Current rate: C/40

(with the electrolyte), resulting in a greater accessible surface area and (ii) nitrogen-containing ring structures/functionalities that can undergo faradaic redox reactions, thereby contributing additional charge storage capacity.

In order to determine the suitability of CNT/C composite for use as high-power negative electrodes, the rate capabilities of the composite were evaluated at up to 60 C (1 min charge/discharge) and the results compared with pristine CNT and two other LIB-grade commercial graphites, N3 and SLP-30 (Fig. 5). In these trials, the current rate equivalent to 1 C is taken as 372 mA/g for all the materials (theoretical capacity of graphite: 372 mAh g<sup>-1</sup>). It should also be highlighted that the intercalation rate was the 'same' as that of de-intercalation rate (for example: for the de-intercalation rate of 60 C, the intercalation rate was also 60 C). All the cells were conditioned by cycling at C/40 rate for three cycles and their capacity was recorded for consecutive 10 cycles at each C-rate.

Both the commercial graphites (N3 and SLP30) have a similar behaviour displaying very high capacities at C/10 (305 and 345 mAh g<sup>-1</sup>, respectively), but their capacities decrease rapidly as the C-rate increases (even at 1 C) and both graphites show almost negligible capacity above 5 C. The pristine CNT sample has a modest initial capacity at C/10 (~170 mAh g<sup>-1</sup>), but its performance at higher rates (2 C and above) is superior to that of the commercial graphites, and it also displays a very creditable 53 mAh g<sup>-1</sup> at the extremely high rate of 60 C. In comparison, the CNT/C composite material has an initial C/10 capacity of 265 mAh g<sup>-1</sup>. The higher capacity of the CNT/C composite, over pristine CNT sample, can be attributed to the presence of the nitrogen-containing amorphous carbon coating as discussed previously. In addition, the intrinsic high rate capability of the CNT is maintained in the CNT/C composite with 81 mAh g<sup>-1</sup> deliverable at 60 C. After 100 cycles of testing at various C-rates, the cells were recycled at 1 C and



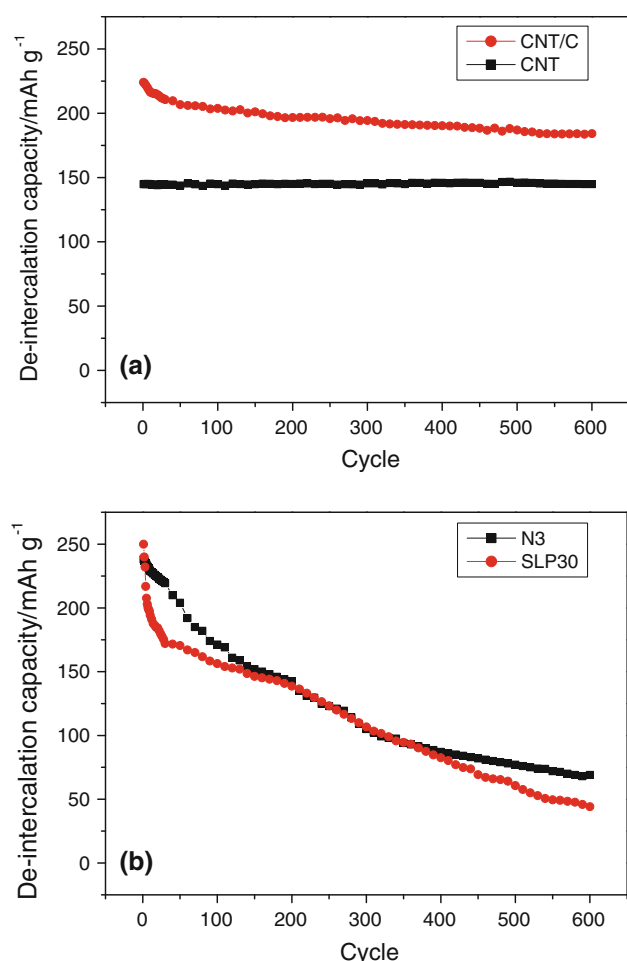
**Fig. 5** Comparison of rate capability of CNT/C composite with pristine CNT and two other commercial graphite materials. Intercalation rate was 'same' as that of de-intercalation rate

C/10 during which all cells recovered their initial capacity (Fig. 5). This not only confirms the stability of the cells but also establishes that the low capacity values obtained at high C-rates were not due to electrode material degradation but rather were limited by the respective electrode kinetics. This is consistent with a recent study that has demonstrated that whilst commercial graphites have good de-intercalation rates, their intercalation rate capability is relatively poor [14].

The cycle life performance of the CNT/C composite, pristine CNT, N3 and SLP30 was evaluated at the reasonably fast charge/discharge rate of 1 C and the results are presented in Fig. 6. The pristine CNT (Fig. 6a) shows excellent stability during over 600 cycles. The CNT/C composite shows a small drop in capacity during the initial 50 cycles, but, thereafter, it maintains fairly stable capacity on cycling. Again, the greater reversible capacity of the CNT/C composite compared with the pristine CNT is very significant. In contrast, the N3 and SLP30 shows a steady decrease in capacity on cycling (at this C-rate). The cyclability of both these materials is found to be very similar; however, after 600 cycles, the reversible capacities of these materials are below ~70 mAh g<sup>-1</sup>. After cycling, the graphite samples were reconditioned and recycled at both C/10 and 1 C rates for 10 cycles (data not shown). Whilst some small recovery in reversible capacity was observed, their capacities continued to decrease indicating that the N3 and SLP30 graphites have undergone some irreversible degradation after cycling.

#### 4 Conclusions

A nitrogen-rich CNT/C composite is prepared by carbonising a CNT/PANI composite. The mesoporous tubular



**Fig. 6** Cycle life behaviour of **a** CNT/C composite and pristine CNT and **b** two commercial graphites. Charge/discharge rate: 1 C

architecture of the CNT, its relatively higher graphene interlayer distance (0.342 vs. 0.335 nm for graphite) and the nature of charge storage mechanism appear to play a crucial role in realising high-rate capability (especially intercalation rate) of CNT-based electrodes in comparison with conventional graphite.

Electrochemical characterisation of the CNT/C composite in comparison with the pristine CNT material indicate that the composite has nearly double the reversible capacity (390 vs. 219 mAh g<sup>-1</sup>) and 39 % less irreversible capacity (622 vs. 1,015 mAh g<sup>-1</sup>) during the first cycle at C/40 rate. For the same coating thickness, the loading of CNT/C composite weighs at least twice in comparison with the pristine CNT, which is another benefit of the CNT/C composite to obtain higher volumetric capacity. The CNT/C composite shows exceptionally high rate capability with a de-intercalation capacity of 81 mAh g<sup>-1</sup> at a very high charge/discharge rate of 60 C; whereas, the pristine CNT delivers 53 mAh g<sup>-1</sup> at this C-rate. The rate capabilities of conventional graphites (N3 and SLP30) are very poor beyond 5 C ( $\leq 17$  mAh g<sup>-1</sup> at 5 C).

Cycle life testing at 1 C charge/discharge rate shows an excellent cyclability of pristine CNT delivering a capacity of 146 mAh g<sup>-1</sup> with no capacity degradation after 600 cycles. The CNT/C composite shows a small drop in capacity during the initial 50 cycles; thereafter, it maintains a fairly stable capacity of  $\sim 200$  mAh g<sup>-1</sup> for up to 600 cycles. The commercial graphites show a steady and significant decrease in de-intercalation capacity from the initial value of 239 mAh g<sup>-1</sup> for N3 and 250 mAh g<sup>-1</sup> for SLP30 to 69 mAh g<sup>-1</sup> for N3 and 44 mAh g<sup>-1</sup> for SLP30 after 600 cycles.

As the negative electrodes in LICs are generally pre-doped with metallic lithium [3, 5, 7, 12, 13] (to improve the cycle life and energy density of the device), the relatively high irreversible capacity of the CNT/C composite during the first cycle should not limit its use in LIC, and therefore, it is concluded that the CNT/C composite is a promising negative electrode material for high-power LICs.

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